

IAP12 Rec'd PCT/PTO 16 MAR 2007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Nakanishi
Serial No.: 10/580,796
For: SPREAD MAT
International
Filing Date: May 20, 2004
US Filing Date: May 26, 2006
Examiner: Not Yet Assigned
Art Unit: Not Yet Assigned
Confirmation No.: 7232

Customer No.: 27,623

Attorney Docket No.: 0002936USU/3105

**Mail Stop PCT
COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450
Attention: DO/EO/US**

Sir:

**TRANSMITTAL OF MISSING PARTS OF APPLICATION AND
PAYMENT OF SURCHARGE UNDER 37 C.F.R. §1.16(e)**

Transmitted herewith are the following documents pertaining to the above-identified application:

- ☒ Declaration and Power of Attorney form executed by Shutaro NAKANISHI;
- ☒ The undersigned attorney has verified that the applicant is entitled to a Small Entity Status;
- ☐ _____ Sheets of Formal Drawings;
- ☒ An Assignment of the Invention to: **TOWA CO., LTD** including \$40.00 recordation fee and Assignment Recordation Cover Sheet;
- ☒ Information Disclosure Statement (Form - 1449) with references;
- ☒ A copy of the Notification of Missing Requirements Under 37 U.S.C. 371;
- ☐ Preliminary Amendment; and
- ☐ Petition and Fee for Extension of Time (37 CFR 1.136(A)).

The Filing Fee is calculated below.

CLAIMS AS FILED				
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$300.00
Total Claims	1 - 20 =	0	x \$50.00	\$
Independent Claims	1 - 3 =	0	x \$200.00	\$
Multiple Dependent Claim Fee		x \$360.00 = \$0.00		
SEARCH FEE		\$500.00		
EXAMINATION FEE		\$200.00		
NATIONAL STAGE APPLICATION SIZE FEE (\$250 FOR EACH ADDITIONAL 50 SHEETS OVER 100 SHEETS)		\$		
TOTAL FILING FEE		\$PAID		
1/2 FILING FEE FOR SMALL ENTITY		\$		

X A check in the amount of \$ **105.00** to cover the surcharge (\$65-small entity) and assignment recordation fee (\$40) is enclosed.

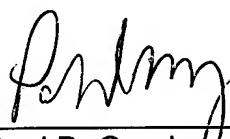
X The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

March 16, 2006
Date

03/20/2007 MKAYPAGH 00000071 10580796

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65.00 DP


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CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Certificate No. **EV965837282US**, service under 37 CFR §1.10 and is addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, Attention: DO/EO/US on March 16, 2007.

Suzanne Lombardo
(Typed name of person mailing paper)


(Signature of person mailing paper)



UNITED STATES PATENT AND TRADEMARK OFFICE

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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/580,796	Shurato Nakanishi	0002936USU/3105

INTERNATIONAL APPLICATION NO.
PCT/JP04/06838

I.A. FILING DATE	PRIORITY DATE
05/20/2004	01/07/2004

27623

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ONE LANDMARK SQUARE, 10TH FLOOR
STAMFORD, CT 06901

CONFIRMATION NO. 7232

371 FORMALITIES LETTER



OC000000021999235

Date Mailed: 01/16/2007

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 05/26/2006
- English Translation of the IA filed on 05/26/2006
- Copy of the International Search Report filed on 05/26/2006
- Information Disclosure Statements filed on 07/10/2006
- Small Entity Statement filed on 05/26/2006
- U.S. Basic National Fees filed on 05/26/2006
- Priority Documents filed on 05/26/2006
- Specification filed on 05/26/2006
- Claims filed on 05/26/2006
- Abstracts filed on 05/26/2006
- Drawings filed on 05/26/2006

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The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

- \$65 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5).

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.
<https://portal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <http://www.uspto.gov/ebc>.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

WINSTON M ALVARADO

Telephone: (703) 308-9140 EXT 206

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/580,796	PCT/JP04/06838	0002936USU/3105